

## **Part 2B of Form ADV:**

### **BOK Financial Advisors Brochure Supplement**

#### **Item 1. Cover Page**

**Supervised Person's name:** J. Phillip W. Carr  
**Business Address:** BOK Financial Advisors  
3237 S Peoria Ave, Tulsa, OK 74105  
**Telephone Number:** 918-746-7470  
**Email Address:** pcarr@bokf.com

**Firm name:** BOK Financial Advisors  
**Business Address:** One Williams Center, Plaza Level  
Tulsa, OK 74172  
**Telephone Number:** 877-781-6889  
**Date of supplement:** 03/05/2019

**This brochure supplement provides information about J. Phillip W. Carr that supplements the BOK Financial Advisors brochure. You should have received a copy of that brochure. Please contact BOK Financial Advisors if you did not receive BOK Financial Advisors's brochure or if you have any questions about the contents of this supplement.**

**Additional information about J. Phillip W. Carr is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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#### **Item 2. Education Background and Business Experience**

**Supervised Person's name:** J. Phillip W. Carr  
**Year of Birth:** 1985  
**Education Background:** Oklahoma State University BBS - Finance (Acct Minor)  
**Business Background:** Financial Advisor - Investment Center  
Retirement Specialist  
  
Associate Financial Advisor  
  
Para-Planner  
Certified Financial Planner® (CFP®) \*

#### **Item 3. Disciplinary Information**

None  
None  
None  
None

#### **Item 4. Other Business Activities**

Your adviser may also be employed in an investment related business or occupation, including if the employee is registered or has an application pending to register as a broker-dealer, registered broker-dealer representative, futures commission merchant (FCM), commodity pool operator (CPO), commodity trading adviser (CTA), or an associated person of an FCM, CPO, or CTA. Because BOK Financial Securities, Inc. (BOKFS) is a dually-registered investment adviser and broker-dealer, many BOK Financial Advisors' (BOKFA) advisers are also registered broker-dealer representatives of BOKFS.

Print and sign and date pages 17, 49, and 52 of "IRA Packet."#160; FINRA Rollover Tips is for your records.#160; Please print all 3 pages of "IRA Rollovers Disclosure" form, and sign and date the last page.#160; Print pages 3-4 of "Termination Pac

#### **Item 5. Additional Compensation**

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Your adviser may earn commissions, bonuses or other compensation, including bonuses based, at least in part, on the sale of securities or other compensation based on the sale of securities or other investment products, as a broker-dealer representative. Your adviser may earn distribution or service fees from the sale of mutual funds, as well as, bonuses or other compensation based on the number or amount of client referrals, or new accounts, and sales awards and other prizes. This practice presents a conflict of interest and gives your adviser an incentive to recommend investment products based on the compensation received, rather than on a client's needs. BOKFA has account review procedures designed and implemented to ensure that all clients are treated fairly and equitably, and to prevent this conflict from influencing investment selection.

#### **Item 6. Supervision**

BOKFA has established and maintains a system to supervise the activities of each advisory representative, which is designed to achieve compliance with applicable securities laws, regulations, and rules. This supervisory system includes the assignment of each advisory representative to a supervisor who is responsible for supervising that person's activities. Supervisors are responsible for monitoring the advice that advisory representatives provide to their clients. Advisory representatives meet with their clients at least once a year to review the client's investments, financial condition, and goals and objectives. Clients sign an annual review report documenting the annual review, and this document is further reviewed by the supervisor and the compliance department. Account anomalies are discussed by management and the advisory representative.

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|----------------------------|---------------------------------------|
| <b>Name of supervisor:</b> | Paul Haines                           |
| <b>Title:</b>              | SVP, DIRECTOR, BOK FINANCIAL ADVISORS |
| <b>Telephone number:</b>   | 214-575-1951                          |

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\* Certified Financial Planner CFP® is a professional certification mark granted in the United States by the Certified Financial Planner Board of Standards, Inc. (CFP Board). The CFP Board awards this designation to individuals who successfully complete initial and ongoing certification requirements:

- Complete an advanced college-level course of study addressing the financial planning subject areas necessary for the competent and professional delivery of financial planning services
- Attain a Bachelor's Degree or higher, in any discipline from an accredited United States college or university
- Complete a CFP® Board-Registered Education Program and pass the comprehensive CFP® Certification Examination
- Complete at least three years of qualifying full-time financial planning-related work experience
- Complete 30 hours of continuing education hours every 2 years
- Agree to be bound by the CFP Board's Standards of Professional Conduct including the use of a fiduciary standard of care when providing financial planning services